

1                   **A Heuristic Data Enhancement Method for**  
2                   **Probe-based Traffic Monitoring System**

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41                  Word Count: 5466+ 2 Tables \* 250 + 8 Figures \* 250 = 7466

42 **Abstract**

43 Probe-based real-time traffic monitoring systems, such as Wireless Location  
44 Technology and probe car GPS-based systems, have become increasingly attractive as  
45 a cost-effective alternative to traditional loop-detector and other fixed detection  
46 technologies. In order to enhance traffic operations control room monitoring  
47 capabilities, however, probe-based systems must be capable of providing reliable link  
48 speed information during short-term non-free flow conditions such as traffic incidents  
49 and severe weather events. This paper describes a heuristic data enhancement  
50 algorithm that takes probe-based system measurements as input and generates a  
51 real-time output stream that provides a more accurate trace of ongoing event  
52 conditions.

53 The data enhancement algorithm detects an unplanned event by seeking traffic speed  
54 measurements beyond the pre-defined speed threshold from a calculated reference  
55 speed. A variable event clearance window is then established by checking the number  
56 of low-speed event data points over the last two hours and the length of time from the  
57 start of the current event. Within the variable length event clearance window, the last  
58 trusted non-free flow traffic speed will be reported instead of un-trusted free flow  
59 system measurements. The enhanced measurements provide a more faithful  
60 representation of the continuous impact of the event when compared to ground truth  
61 data.

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63 **Key words:** Probe-based real-time traffic monitoring system; WLT technology;  
64 evaluation and system improvement

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## 82 **Introduction**

### 83 **Background**

84 In 2007 the Wisconsin Department of Transportation (WisDOT) initiated a federally  
85 sponsored study to evaluate the effectiveness of probe based traffic information  
86 systems to enhance real-time traffic monitoring along state trunk highways. Two  
87 different systems were selected for comparison, one based primarily on cell-phone  
88 location technology and the other based on in-vehicle GPS technology. The  
89 evaluation study was conducted by the University of Wisconsin-Madison Traffic  
90 Operations and Safety (TOPS) Laboratory in collaboration with the WisDOT  
91 Statewide Traffic Operations Center. The cell-phone based system covered three  
92 corridors between Madison and Milwaukee including IH 94, STH 18, and STH 19.  
93 The GPS system, which is this main focus of this paper, covered a freeway segment  
94 of 250 miles on US 41 and US 43 between Milwaukee and Green Bay. The GPS  
95 system reports real-time traffic flow speed at 5 minute intervals with respect to fixed,  
96 predefined segments. Although each system relies on a particular technology for  
97 speed data collection, it should be noted that both systems also collect and  
98 architecture real time traffic information data from a hybrid of existing data sources  
99 including GPS probe data, road sensor data, state DOT public traffic information, and  
100 others.

101 A critical objective of the WisDOT study was to evaluate how well these systems  
102 can be used for traffic operations in a control room setting. That objective relates, in  
103 particular, to the effectiveness in capturing non-free flow traffic conditions during  
104 planned and unplanned events such as traffic incidents, weather events such as snow  
105 and flooding, and work zones. Such non-free flow conditions will be here after  
106 referred as “events.”

107 Traffic monitoring at the WisDOT Statewide Traffic Operations Center (STOC) is  
108 based primarily on traditional loop detector technologies and supplemented by  
109 ongoing traffic camera deployments. Due to an array of factors, including cost and  
110 physical maintenance, operations detection is currently limited to major urban areas  
111 around Milwaukee, Madison, and Wausau. Probe-based technologies offer a  
112 cost-efficient alternative to supplement fixed detection in other parts of the state and  
113 along corridors that connect urban areas.

114 In order to provide useful monitoring in a control room setting, the probe-based  
115 traffic information collecting system should be able to:

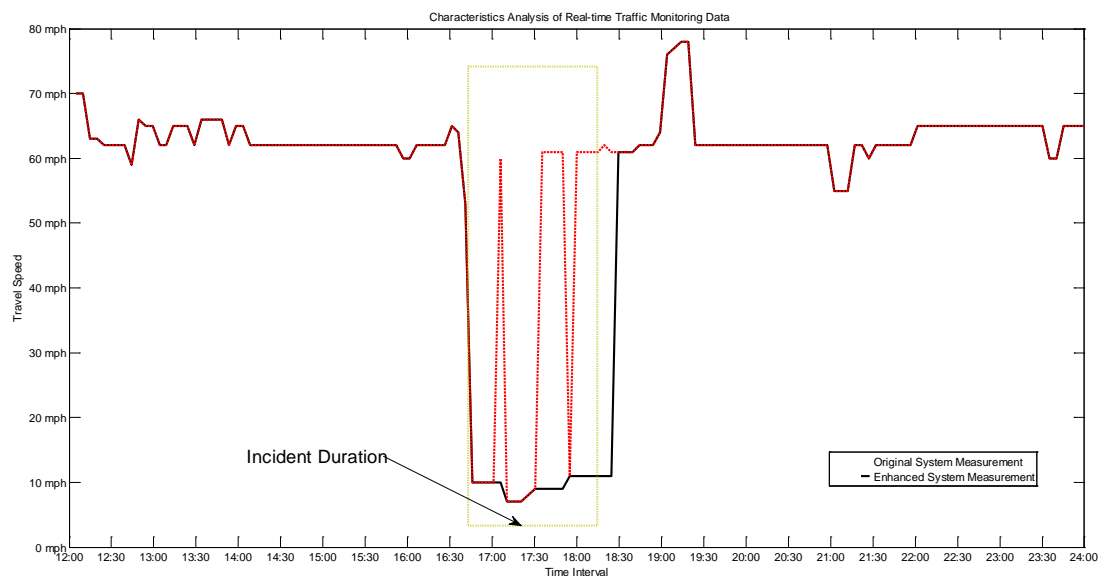
- 116
- 117 ● Report traffic speed data on short segments (one to three miles)
- 118 ● Report traffic speed information in real time
- 119 ● Provide a reliable detection mechanism for non-free flow events

120

121 Although the ability to report reliable traveler information such as route travel times  
122 is also important, the WisDOT study has focused on the systems’ performance to  
123 detect and continuously report on the impact of non-free flow traffic events. To

124 evaluate whether the system can fulfill these requirements, three categories of events  
 125 were chosen: traffic incidents, weather events (snow and flooding), and high-impact  
 126 work zones. A total of 25 events (4 work zones, 8 weather impact events, and 13  
 127 incidents) were studied to evaluate the performance of the studied traffic monitor  
 128 system from January to December 2008. Traffic incidents provided an opportunity to  
 129 evaluate the system's ability to detect sudden, short-term unplanned event impacts.  
 130 Incident detection and coordination with first-responders is an increasingly important  
 131 function of the STOC. Work zones and major weather events provided an opportunity  
 132 to evaluate the system performance under longer-term non-free flow conditions.  
 133 Several significant snow and flood events in the last two years have demonstrated the  
 134 need to expand traffic monitoring capabilities beyond the major urban areas.

135 Overall, the system was able to detect the event impact (i.e., report low speed data  
 136 during roughly 70% of the incident duration) from 12 of all the studied events, which  
 137 is 48% of the total. Although the system was able to detect the event impact, the  
 138 system's ability to report event data accurately and over the whole event duration is  
 139 much less reliable. A typical example of this issue is illustrated in Figure 1 below:



140  
 141 **Figure 1 A Typical Example of Original Data Output.**  
 142

143 The actual incident duration, which took place from 4:45 PM to 6:20 PM, is given  
 144 by the boxed area. The process in which “ground truth” traffic incident information  
 145 is collected is described below. The original probe-based traffic monitoring system  
 146 output, which will be referred to as “original system measurement,” is shown by the  
 147 red dotted line. Note that the probe system was able to detect the event impact right  
 148 after the incident occurred and reported a significant drop in traffic speed on the given  
 149 segment. However, as a possible result of low penetration rate, the probe system did  
 150 not detect the whole incident continuously but reported intermittent default speed  
 151 values instead. This case is typical among the incidents detected by the two probe  
 152 based traffic monitoring systems in the evaluation study.

153 The enhanced measurement, which was generated by the algorithm proposed in this  
 154 paper, is shown by the black solid line. The enhanced output continuously reports

155 lower speeds over the duration of the incident. Whereas the probe system data  
156 reverts to free flow conditions about 20 minutes before the incident was reportedly  
157 cleared, the enhanced output overcompensates by continuing to show low speeds for  
158 about 5 minutes past the incident duration. We believe this is a better alternative for  
159 traffic control centers. Specific tuning parameters to improve the lag time are  
160 described further in the paper. The output enhanced by the algorithm proposed in this  
161 paper will be referred as “enhanced measurements.”

162 Based on the GPS probe system output characteristics, a heuristic data enhancement  
163 method was developed to improve the system performance under non-free flow event  
164 impacts. Compared to the original system measurements, the enhanced measurements  
165 improves real-time traffic control room capabilities to detect and continuously  
166 monitor traffic conditions during event without disrupting normal free-flow traffic  
167 data reporting.

168

169 **Study of Previous Traffic Monitoring System Deployments** WisDOT has deployed  
170 a large network of loop detectors and other fixed detection devices over the past  
171 decade as part of its freeway operations monitoring program. These detectors are  
172 used to collect traffic flow (volume, speed, and occupancy) and travel time data for  
173 real-time control room operations and traveler information. By using a dense  
174 detector network, short (under three miles in length) link flow conditions can be  
175 monitored and are further aggregated to obtain longer route-based travel times. Many  
176 detectors on the network are often in need of maintenance or repair at any given time.  
177 In-vehicle probe-based technologies provide a potential cost-efficient alternative to  
178 increase coverage and overcome maintenance pitfalls.

179 As described, the WisDOT evaluation focused on two different probe-based  
180 monitoring systems. To implement a probe-based traffic monitoring system, there are  
181 generally two approaches. The first approach is the use of wireless location  
182 technology (WLT) to locate wireless devices. The second approach is to locate  
183 floating vehicles equipped with GPS devices. Many traffic data firms are combining  
184 probe data from GPS-equipped fleets and WLT to generate traffic data [1].

185 Several landmark studies over the past decade have evaluated the effectiveness of  
186 probe-based traffic monitoring systems for reporting travel time information. Those  
187 include Cayford, R., Yim, Y.B. [2, 3], Fontaine, M.D., Smith, B.L., [4] and Ben Gurion  
188 University (2005) [5]. More recently, the I-95 Coalition has sponsored a study  
189 through the University of Maryland CATT Lab to evaluate the effectiveness of  
190 fleet-based GPS traffic monitoring along the multi-state I-95 corridor [6]. And server  
191 commercial firm effects of developing their own probe-based traffic monitoring  
192 systems around the states, such as INRIX [7] and Airsage [8] were evaluated.

193 As noted in the summary report of state-of-practice WLT-based traffic monitoring  
194 systems [1], the current deployments have a common problem: probe-based traffic  
195 monitoring systems tend to have relatively low sampling rates, especially during event  
196 impacts. One approach for estimating roadway travel times using automatic vehicle  
197 identification (AVI) data for low sampling rates has been studied by Dion and Rakha  
198 (2005) [9]. Their study describes a low-pass adaptive filtering algorithm to predict

199 average roadway travel times.

200 In the context of control room operations, however, an increasingly critical  
201 requirement is to assist first responders by providing real-time link speeds for  
202 purposes of detecting traffic incidents and other non-free flow conditions. Compared  
203 to travel time, traffic speed is more sensitive to speed variations (flow speed could  
204 change for more than 40 mph within 10 minutes). Therefore, in this paper, we propose  
205 a heuristic data enhancement algorithm to address the need of improving the traffic  
206 monitoring system performance based on low sampling rate probe data, especially  
207 under the event impact.

## 208 **Data Enhancement Algorithm Methodology**

209 A heuristic data enhancement algorithm is introduced in this paper to improve  
210 real-time monitoring of traffic conditions by post-processing the probe-based system  
211 output. The algorithm will enhance the probe-system data according to the following  
212 principles:

213

214 ● The data enhancement algorithm should be able to trace the full duration of  
215 the event;

216 ● The data enhancement algorithm should replace erroneous default free-flow  
217 speed values with better estimates of ground truth speeds during the event  
218 window;

219 ● The data enhancement algorithm should be applied in real time – it can only  
220 use historical data to predict current conditions.

221

222 An event window will be established once proposed algorithm detects an event  
223 impact and cover through the event duration. Within the event window, any free  
224 flow system measurement will be considered to be an un-trusted system default  
225 measurement and will be replaced by the last trusted non-free flow system  
226 measurement value.

227 The algorithm consists of a filtering and imputation process that can be divided into  
228 two procedures: historical measurement inspection and current measurement  
229 calibration. For each original system measurement, the heuristic data enhancement  
230 filtering algorithm will go through these two procedures to determine whether the  
231 traffic is under an event impact and whether there is a need to replace the current  
232 probe system output with the last trusted system output speed measurement.

233 Then proposed algorithm will look for system measurement that is lower than the  
234 calculated reference speed minus the pre-defined speed threshold. Once an event is  
235 detected, a variable event clearance window is established by checking the number of  
236 low-speed event data points over the last 2 hours and the length of time from the start  
237 of the current event. Within the variable duration clearance window, the un-trusted  
238 free flow original system measurement will be replaced with the last trusted non-free  
239 flow system measurement value.

240 Four “windows” will be used in the proposed algorithm through its processing:

- 241
- 242 ● *Event Window*. The period of time during which the data enhancement algorithm
- 243 traces an event.
- 244 ● *Reference Speed Calculation Window*. The time interval covering the last six
- 245 trusted free flow system measurements. During an event, this window
- 246 corresponds to the six speed values leading up to the event window.
- 247 ● *Event Persistence Test Window*. Period of time leading up to the current system
- 248 measurement that defines the interval over which the number of non-free flow
- 249 system measurements are counted (event persistence test).
- 250 ● *Variable Event Clearance Window*. A variable length window that defines the
- 251 expected end-point of an event, determined by the current event duration and
- 252 the event persistence test. The expected end-point is updated with each
- 253 system measurement.

254

255 Table 1 lists the key variables that are used in the algorithm with their symbols and

256 applications.

257

258

**Table 1 Variables Used In the Enhancement Algorithm**

Symbols	Variables Names	Applications
$u_r$	Reference Speed	To determine whether system measurements was under event impact
$L_{RSCW}$	Length of the reference speed calculation window	Period of time to calculated reference speed
$u_\tau$	Pre-defined speed threshold	Pre-defined threshold to determine whether system measurements was under event impact
$L_{EPW}$	The length of the event persistence window	Period of time to determine event persistence
$L_{CLR}$	Length of the event clearance window	defines the expected end-point of an event
$N_{miss}$	Real time measurements missing timer	Period of time that missing real time system measurements

## 259 Historical Measurement Inspection

260 Each system measurement will initiate an historical measurement inspection. This

261 inspection includes reference speed calculation and the event persistence window test.

262

### 263 Reference Speed Calculation

264 The calculated reference speed refers to the expected free flow speed at a given time

265 and location. It is used by the event persistence test and the current measurement

266 calibration to determine whether a measurement should fall within an event window.

267 In proposed algorithm, we set the length of the reference speed calculation window  
 268  $L_{RSCW}$  to 30 minutes (optimum empirical value set up after analyzing the 25 traffic  
 269 impact events on the system). Moreover, the reference speed is always calculated  
 270 from trusted free-flow system measurements, i.e., outside of an event window.  
 271 Assuming the system reports the traffic speed at an interval of  $t$  minutes, for each  
 272 measurement at the current spot of time  $I$   $u(I)$ , the reference speed  $u_r$  is calculated  
 273 by Equation (1):  
 274

$$N = \frac{L_{RSCW}}{\text{System\_measurement\_interval}} \quad (1)$$

$$u_r(I) = \frac{\sum_{n=1}^N u(I-n)}{N}$$

276 where,  $L_{RSCW}$  is the length of reference speed calculation window. Inside an event  
 277 window, the reference speed is taken as the last calculated reference speed before the  
 278 event started.

279 For this particular system, knowing that the probe system reports the traffic speed at  
 280 an interval of 5 minutes, assuming all system measurements are free flow  
 281 measurements, the reference speed  $u_r$  will be calculated as the mean of these last 6  
 282 trusted free flow system measurements:  
 283

$$284 \quad u_r(I) = \text{Mean of } [u(I-6), u(I-5), \dots, u(I-1)].$$

285  
 286 After calculating the reference speed, we can use it to determine whether a system  
 287 measurement is under an event impact. According to the analysis of traffic characters  
 288 on the studied area, we define that if a measurement was more than 20 mph  
 289 (pre-defined speed threshold  $u_r$ ) lower than the calculated reference speed, it will be  
 290 considered as under an event impact. This threshold value is an empirical value based  
 291 on prevailing system characteristics and can be adjusted to adapt to other traffic  
 292 monitoring systems or roadway areas.  
 293

#### 294 **Event Persistence Test Window**

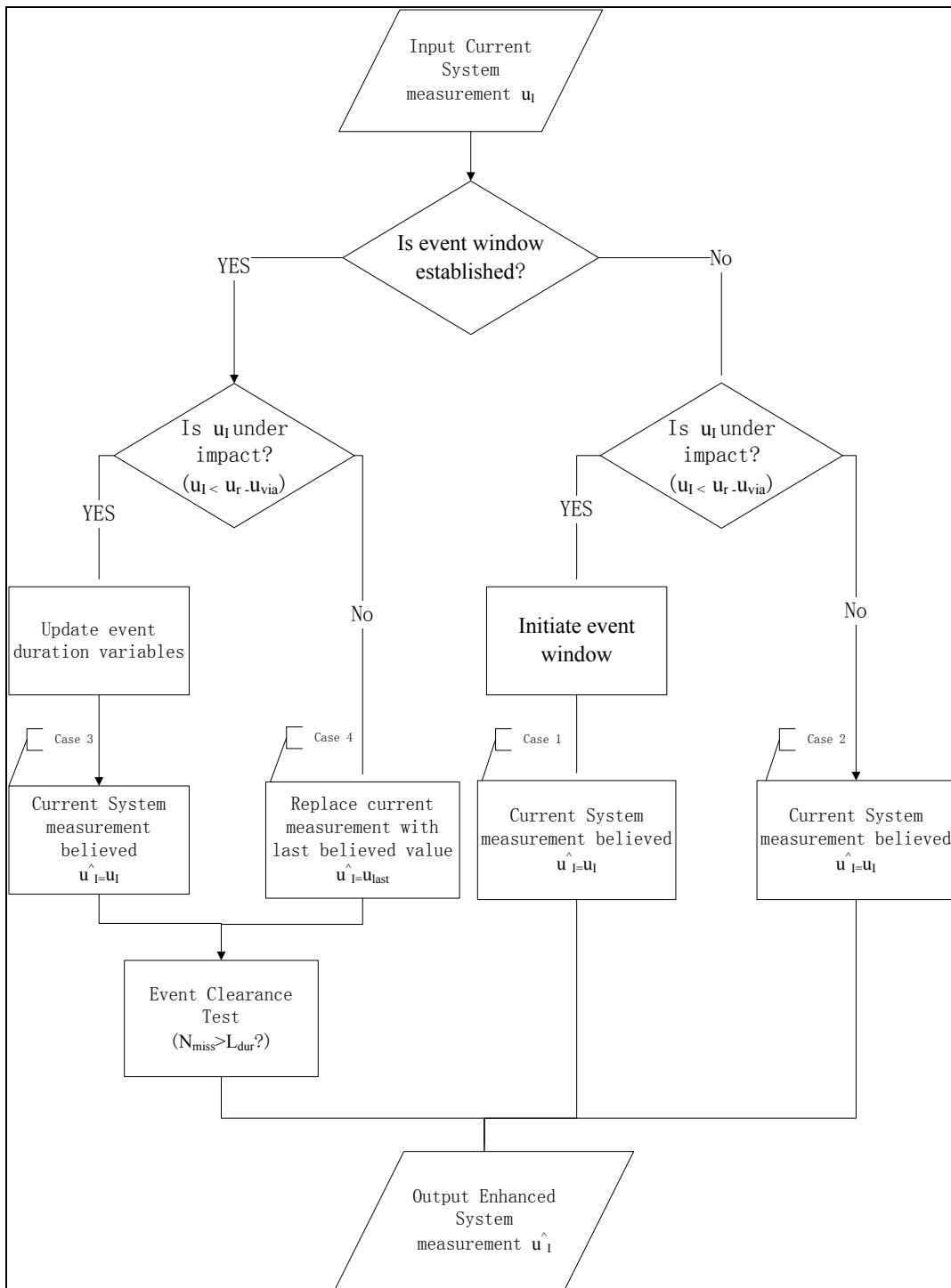
295 The length of the event persistence window  $L_{EPW}$  was set to be 2 hours (an optimum  
 296 empirical value based on our analysis of the 25 events) in the proposed filtering  
 297 algorithm. The proposed algorithm will check the number of measurements under an  
 298 event impact within the past 2 hours using the calculated reference speed and  
 299 pre-defined speed threshold mentioned above. The number of measurements that are



300 under the event impact,  $N_{imp}$ , will be used in the current measurement calibration  
301 procedure to determine the length of event clearance window  $L_{CLR}$ .

## 302 **Current Measurement Calibration**

303 The measurement calibration procedure consists of two sub-procedures to determine  
304 whether the current probe system measurement is under event impact. If there is an  
305 ongoing traffic impact event, the algorithm exams the current system measurement to  
306 prevent the system from reporting an un-trusted free-flow speed measurement.  
307 Un-trusted speed measurements are replaced with a last trusted non-free flow  
308 measurement value  $u_{last}$ . The sub-procedures are illustrated by flow chart in Figure 2.



**Figure 2 Sub-procedures of Current Measurement Calibration**

309

310

311

312 For each original system measurement, the calibration procedure checks whether the  
 313 event window has been established. Four possible cases exist:

314

315 **Case 1:** If the event window has not been initiated by the algorithm and the current  
 316 system measurement is under an event impact (determined by using the calculated

317 reference speed  $u_r$  and pre-defined speed threshold  $u_r$  that we discussed above in the

318 historical measurement inspection section), an event window will be initialized. This  
 319 initialization starts an event duration timer  $N_{dur}$  and a new variable event clearance  
 320 test window with a real-time measurement's missing timer  $N_{miss}$ . Both of these  
 321 timers will be set at zero that indicates a new traffic flow impact event was just  
 322 detected and a real-time trusted system measurement was reported at zero system  
 323 measure intervals ago. Furthermore, the last trusted speed measurement  $u_{last}$  will be  
 324 set to the value of current system measurement. This last trusted speed measurement  
 325  $u_{last}$  could be used to replace any un-trusted non-real time system measurement in the  
 326 following procedures.

327

328 **Case 2:** if an event window has not been initiated by the algorithm and the current  
 329 probe system measurement does not appear to be impacted by an event, the current  
 330 original system measurement is trusted and remains unchanged.

331

332 **Case 3:** if the event window has already been initiated by the algorithm and the  
 333 current probe system measurement appears to be under an event impact, the algorithm  
 334 will accept that the current system measurement as a reliable real time system  
 335 measurement indicating a continued impact to the traffic flow. Therefore the  
 336 procedure will execute the following sub-procedures:

337

- 338 ●The event duration timer  $N_{dur}$  will increase by 1 to indicate that the current  
 339 event has lasted one more system measure interval.
- 340 ●Since a new reliable real time system measurement was found, the algorithm will  
 341 re-establish a variable event clearance window with real time data absence  
 342 timer set to zero.
- 343 ●The last trusted speed measurement  $u_{last}$  will be replaced with the value of  
 344 current system measurement.

345

346 Notice that the event duration timer  $N_{dur}$  will not be reset to zero because the  
 347 sustained impact from the current traffic impact event was detected. After executing  
 348 these three sub-procedures, the algorithm will implement the variable event clearance  
 349 test, which will be presented in the following section.

350

351 **Case 4:** if the event window have already been initiated by the algorithm, but the  
 352 current probe system measurement does not appears to be affected by that traffic  
 353 impact event, the current system measurement would be considered as a non-real time  
 354 default system output and the following sub-procedures will be executed to calibrate

355 the system measurement:

356

357 ●The current system measurement will be replaced by the current last trusted speed

358 value  $U_{last}$ .

359 ●The real time measurements missing timer  $N_{miss}$  will increased by 1 to indicate

360 that the system has reported un-trusted free flow speed for 1 system measure

361 interval.

362 ●The event duration timer  $N_{dur}$  will increase to keep a record of the current traffic

363 impact event duration.

364

365 After executing these sub-procedures, the algorithm will perform the variable event

366 clearance test to check whether the impact from the event should be cleared.

367

### 368 **Event Clearance Test Window**

369 The event clearance test window is a variable length window that will be used by the

370 algorithm to determine whether the traffic flow is still under the event impact. This

371 test will use its varying window length to prevent the system measurement from

372 reporting un-trusted free flow system measurement during the event duration as well

373 as reporting low speed measurements after the event impact was cleared.

374 The length of event clearance window  $L_{CLR}$  is one of the critical “tuning” parameters

375 used by the enhancement algorithm to optimize the algorithm’s performance on

376 events with different characteristics. It is determined by two key factors:

377

378 ●The number of probe system measurements under an event impact in the last 2

379 hours  $N_{imp}$  (Discussed in section 2.1 historical measurement inspection);

380 ●The number on the current event duration timer  $N_{dur}$ .

381 The length of the event clearance window  $L_{CLR}$  is be calculated by Equation 2

382 below:

383

$$384 \quad L_{CLR} = \begin{cases} \text{Min}(N_{imp} + 2, 8) & (0 \leq N_{dur} \leq 24) \\ \text{Min}(N_{imp} + 2, 8) - (N_{dur} - 24) & (N_{dur} > 24) \end{cases} \quad (2)$$

385

386 This variable will determine how quickly the algorithm will trust the event impact

387 was cleared and stop replacing the non-free flow system measurement with last

388 trusted non-free flow system measurement value. If the number of the real time data

389 missing timer  $N_{miss}$  have not gone beyond the length of variant event clearance

390 window, the algorithm will consider the traffic was still under the impact of current

391 traced event and any free flow system measurement could be considered as un-trusted  
 392 non-real time system measurement. If  $N_{miss}$  has already reach the length of the event  
 393 duration timer, the event impact to the traffic would considered to be cleared and the  
 394 event window will be terminated. Therefore the trace of the traffic impact event will  
 395 be terminated and the event duration timer will be disabled.

396 Equation 2 is based on an analysis of the impact from traffic incidents. By using this  
 397 equation to calculate  $L_{CLR}$ , the length of variable event clearance window will be  
 398 optimized to improve the probe based traffic monitoring system for traffic incidents  
 399 that are generally characterized by sustained short term decreases in traffic speed.

400 However, a long term traffic impact event, such as a snow storm or work zone,  
 401 generally exhibits different characteristics compared to a traffic incident. These events  
 402 generally have much longer impact duration (more than 8 hours) with fewer real time  
 403 system measurements. Since the existence of these type of events are often known,  
 404 adjustments could be made to the algorithm to ensure the enhanced measurement has  
 405 an optimum performance under the impact of these long term traffic impact events.

406 In particular, if we extend the variables threshold values and remove the event  
 407 duration restriction, the system could reported more steady non-free flow traffic speed  
 408 and better reflect the ongoing traffic condition. The equation to calculate length of  
 409 event clearance window  $L_{CLR}$  could be adjusted to the following:

$$410 \quad L_{CLR} = \text{Max}(N_{imp} + 5, N_{dur}) \quad (3)$$

411 The trade-off is that Equation 3 results in a more pronounced time lag when the  
 412 traffic flow actually recovers. In general, a fully optimized algorithm would allow for  
 413 variation in the event duration window based on the prevailing non-free flow event  
 414 characteristics. This could be accomplished through external controls (e.g., based on  
 415 work zone schedule or weather reports) or, as a topic of future research, through  
 416 adaptive feature detection techniques.

417 Several cases are presented in the following section to illustrate the enhancement  
 418 result of the proposed algorithm.

## 419 **Model Validation**

420 To evaluate the ability of the proposed heuristic data enhancement algorithm to  
 421 correctly reflect the event impact on traffic flow, the model validation will use event  
 422 impact case study to simulate the enhanced system measurement performance in  
 423 Wisconsin. A total of 25 traffic impact events were studied and all the system  
 424 measurements during those events were processed by the proposed heuristic data  
 425 enhancement algorithm.

426 As part of the Wisconsin statewide Traffic Emergency Management Enhancements  
 427 (TIME) program, traffic incidents and other high impact unplanned events occurring  
 428 on the state trunk highway system are called into the STOC control room by police  
 429 and other first responders. Information about the start time, severity, and traffic  
 430 impact is recorded and distributed through an email alert system. Incident updates

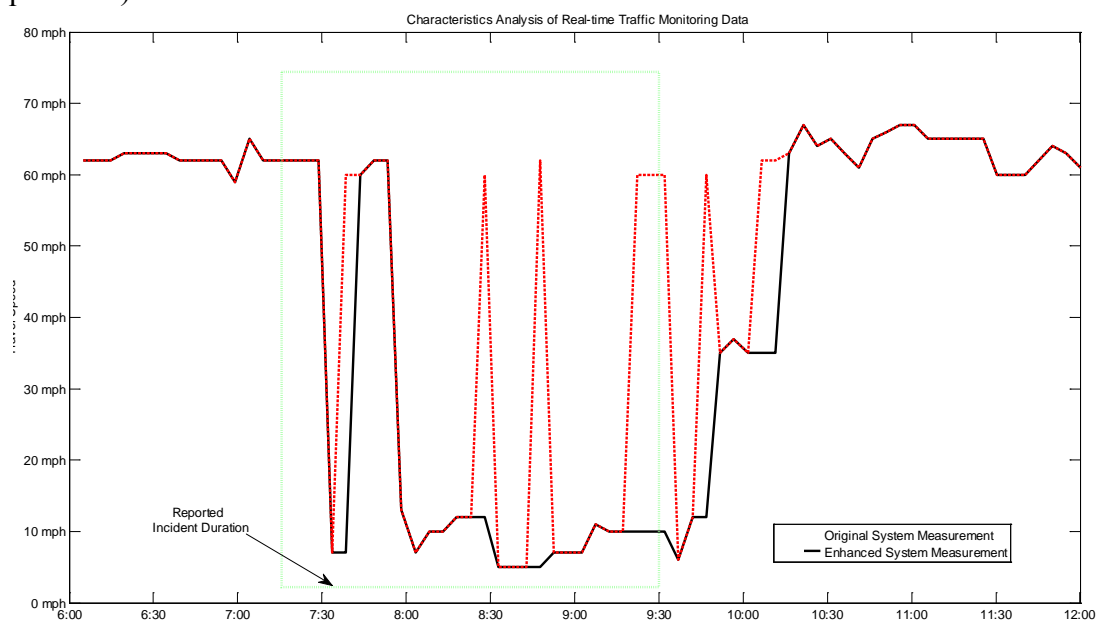
431 and clearance times are also recorded and included with subsequent notifications.

432 Information from the STOC incident notification system was used in this study to  
 433 provide “ground truth” information about incidents and other events occurring on the  
 434 probe-system coverage area where traditional ITS detection such as cameras and fixed  
 435 detection is otherwise unavailable. Like other forms of ground truth, the incident  
 436 reports themselves are subject to error. However they do provide a reasonable  
 437 snapshot of the event for this analysis.

438

### 439 **Data Enhancement Case 1**

440 A crash was reported on US highway 41 southbound near Winnebago County, WI.  
 441 All travel lanes were closed from 8/7/2008 7:15:00 AM to 8/7/2008 9:28:57 AM and  
 442 the traffic was detoured onto nearby roadways. The probe system speed measurement  
 443 output on the corresponding location segment during the day and the enhanced  
 444 measurement result are compared in the figure 3 below ( $L_{CLR}$  was defined by  
 445 Equation 2):



446

447

**Figure 3 Data Enhancement Case 1**

448

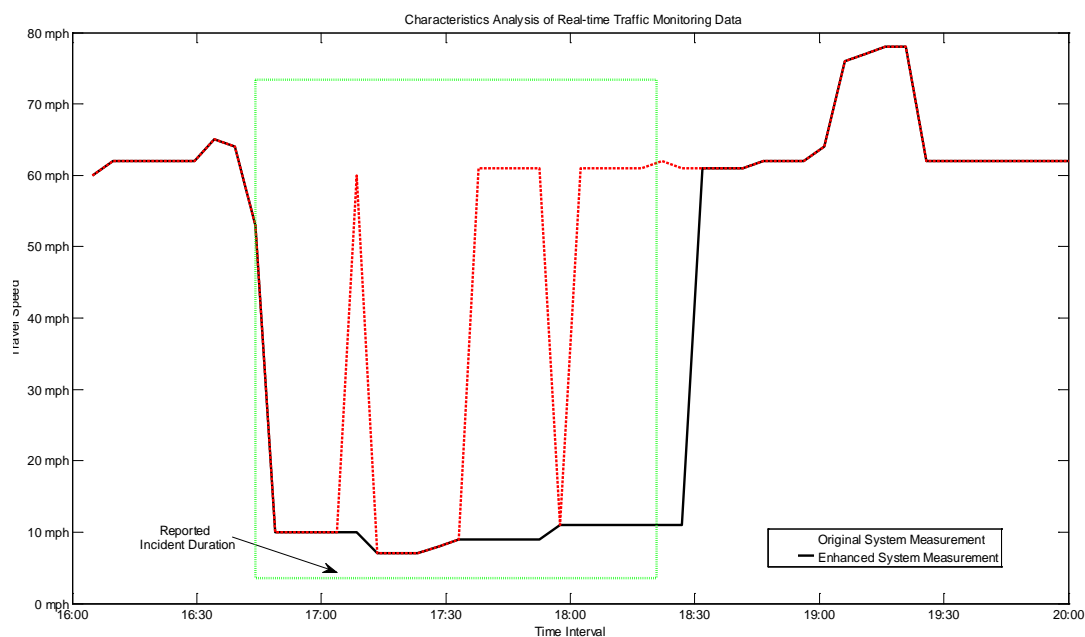
449 From the figure we can see that the un-trusted default speed measurements during  
 450 the event duration were replaced by the last trusted measurement values. However  
 451 at the start of the event, the enhanced measurement briefly reports free flow  
 452 conditions based on un-trusted probe system default values. The data enhancement  
 453 algorithm is a heuristic real time method that improves as more information is  
 454 provided. At the start of the incident, there was insufficient real time system  
 455 measurement to determine that the traffic flow was under the impact of a longer term  
 456 event. As such, the enhancement algorithm accepted the false probe-system free  
 457 flow speeds a reliable measurement. However, once the speed dropped again, the  
 458 algorithm was more conservative and thereafter replace false measurements by  
 459 extending the last reliable non-free flow speed. Note also that at the end of the  
 460 event, the enhanced measurements did not extend the incident impact because the

461 duration of this has exceeded the pre-defined experiential regular incident duration (2  
462 hours). Therefore the enhanced measurements match reported incident duration  
463 closely.

464

### 465 **Data Enhancement Case 2**

466 A second crash was reported on US highway 41 southbound in Winnebago County,  
467 WI. All travel lanes were reported to be closed from 8/7/2008 4:45:00 PM to 6:20:00  
468 PM and the traffic was detoured onto nearby roadways. The comparison result is  
469 shown in Figure 4 ( $L_{CLR}$  was defined by Equation 2):



470

471 **Figure 4 Data Enhancement Case 2**

472

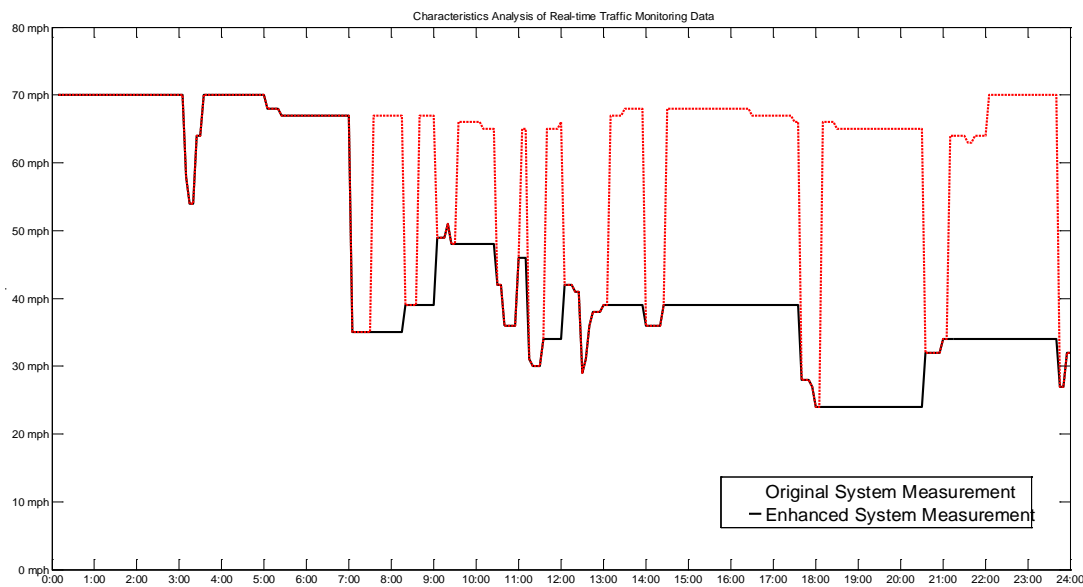
473 This incident case has a relatively shorter reported duration compare to the first case.  
474 We can find from the figure that the algorithm shows perfectly continuously non-free  
475 flow system measurements during the reported incident duration even in the early part  
476 of the incident. This is because the heuristic data enhancement algorithm has already  
477 detected enough non-free flow system measurements to cause the algorithm to  
478 disregard the first occurrences of free flow system measurements. As a trade-off, the  
479 algorithm appears to have overestimated the incident duration (enhanced system  
480 measurement returns to free flow about 15 minutes later then the reported end of  
481 incident duration). The major cause of this time lag is that the incident duration is less  
482 than the pre-defined experiential regular incident duration (2 hours), the algorithm  
483 prefers to trust that the traffic was still under the impact of the traffic impact event.

484

### 485 **Data Enhancement Case 3**

486 In this case, the proposed heuristic data enhancement algorithm is evaluated against a  
487 long term snow event. Both the original probe system measurement and enhanced  
488 measurement are compared to traffic speed data collected by WisDOT loop detectors  
489 in the same event impacted area.

490 From February 5-7, 2008, a major snow event impacted most parts of Wisconsin. A  
 491 segment of highway on US 43 northbound near Port Washington, Wisconsin was  
 492 selected to compare the speed values from the original system and enhanced  
 493 measurements with loop detector data. Figure 5 compares the probe system  
 494 measurement and enhanced measurement ( $L_{CLR}$  was defined by Equation 3):



495

496 **Figure 5 Data Enhancement Case 3 Snow Storm**

497

498 It is clear that the enhanced measurement reports the speed reduction from the snow  
 499 storm more clearly and continuously. From the figure, we can see most of the  
 500 un-trusted system measurements during the snow day were replaced by last trusted  
 501 non-free flow system measurements. Further study of this case, including statistical  
 502 comparison of the original and enhanced measurements will be presented in the  
 503 following model evaluation section.

## 504 **Model Evaluation**

### 505 **Evaluation Design**

506 The February 2008 snow storm from the previous section was chosen to evaluate the  
 507 performance of the proposed heuristic data enhancement algorithm. Both the  
 508 original system measurement and enhanced measurement will be compared to traffic  
 509 speed data generated by loop detectors data in the same event impacted area.  
 510 Real-time operations loop detector data from the STOC ATMS is aggregated to 5  
 511 minute intervals. The equation for calculating the length of event clearance window  
 512  $L_{CLR}$  in the data enhancement algorithm is based on Equation 3 which is optimized to  
 513 long term traffic impact event.

514

### 515 **Evaluation Indexes**

516 To evaluate the enhanced measurements performance, the following statistical  
 517 indexes were chosen to examine the difference between the original/enhanced



518 measurement and loop detector data:

519

520 *ME*: Mean Error can be calculated by Equation 4

$$521 \quad \text{Mean\_Error} = \frac{1}{N} \sum_{k=1}^N (\hat{v}_k - v_k) \quad (4)$$

522 Where,

523  $v_k$  : Traffic speed from loop detector data

524  $\hat{v}_k$  : Traffic speed from system measurement (original/enhanced)

525

526

527 *MSE*: Mean Square Error can be calculated by Equation 5

$$528 \quad \text{Mean\_Square\_Error} = \frac{1}{N} \sum_{k=1}^N (\hat{v}_k - v_k)^2 \quad (5)$$

529

530 *MAE*: Mean Absolute Error can be calculated by Equation 6

$$531 \quad \text{Mean\_Absolute\_Error} = \frac{1}{N} \sum_{k=1}^N |\hat{v}_k - v_k| \quad (6)$$

532

533 *Maximum Absolute Error*

534

535 *MARE*: Mean Absolute Relative Error can be calculated by Equation 7

$$536 \quad \text{Mean\_Absolute\_Relative\_Error} = \frac{1}{N} \sum_{k=1}^N \frac{|\hat{v}_k - v_k|}{v_k} \quad (7)$$

537

### 538 **Evaluation Result and Further Improvements**

539 Results of the statistical evaluation are shown in Table 2 (system measurements  
540 before 4 AM were not included to eliminate the disturbance of un-avoidable default  
541 free-flow measurements):

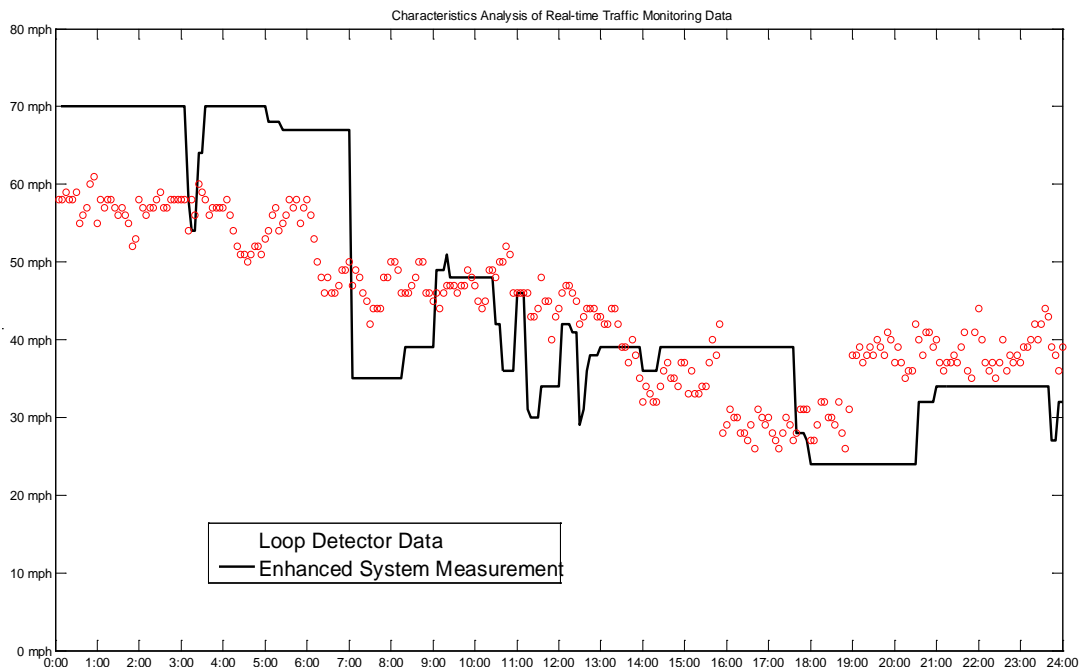
542 **TABLE 2 Statistically Compare Original vs. Enhanced system measurement**

	<b>ME</b>	<b>MSE</b>	<b>MAE</b>	<b>Max. MAE</b>	<b>MARE</b>
Original Measurement	17.2	549.8	19.4	41	54%
Enhanced Measurement	-0.5	99.0	8.4	21	20.1%

543

544 From Table 2, we can see the ME of enhanced measurements was almost eliminated,  
545 which shows the system does not have an obvious bias. However, the enhanced

546 measurements still have a MARE of 20%, which is 8.4 mph in average. From Figure  
 547 7 below, we can see this error was mainly caused by the original difference of the  
 548 system measurement and loop detector data, which is not eliminated by a data  
 549 enhancement algorithm. Aside from this difference, the enhanced measurement  
 550 provides a much more faithful representation of the ground truth loop detector data.



551

552 **Figure 7 Enhanced Measurement VS Loop Detector Data of Case 3**

553

554 As shown in Figure 8 and Table 2, the data enhancement algorithm could improve  
 555 the use of probe system data in a traffic operations control room setting to better  
 556 monitor real-time traffic flow during long term events. By replacing non-free flow  
 557 speed data while the event clearance window length  $L_{CLR}$ , the enhanced  
 558 measurements show the similar trend of traffic speed reduction during the snow day  
 559 compared with the ground truth loop detector data.

## 560 Conclusion and Further Research

561 Currently most of the probe based traffic information systems share the problem of  
 562 insufficient sampling rate in roadways, especially during nighttime and under the  
 563 event impacts. Although some probe based traffic monitoring system was aware of  
 564 this shortcoming and prepare to add a column in their data to indicate whether current  
 565 measurement is based on real time data, an enhancement algorithm to exclude  
 566 un-trusted default data based on system speed measurement is still missing. The  
 567 heuristic data enhancement algorithm proposed in this paper provides a real time  
 568 system neutral methodology to improve the probe based traffic monitoring system  
 569 performance under event impacts. Compared to the original system output, the  
 570 enhanced measurements would help WisDOT's control office to monitor the impact  
 571 of the events to the traffic flows more precise and continuously.

572 However, under the impact of a long term event, such as long lasting major snow  
573 event or work zone, the traffic monitoring system may lack for sufficient real time  
574 measurements for more than 8 hours (e.g., case 3 in section 3). This situation could  
575 lead to serious discontinuously reliable system output even after the current data  
576 enhancement. As we aware that these type of events in advance (Serious major snow  
577 storm is predictable, long term work zone have work plan in DOT), this shortcoming  
578 could be overcome by adjusting the equation of calculate length of variable event  
579 clearance window  $L_{CLR}$ .

580 Despite the proposed data enhancement algorithm was applied to server major traffic  
581 impact events successfully, further studies are required to validate the findings of this  
582 study. An automatic method of detecting the event type and switching the equation to  
583 calculate the length of event clearance window  $L_{CLR}$  could be studied. The optimum  
584 equation of calculate event clearance window length could be investigated and the  
585 universality of this data enhancement algorithm need to be studied in the traffic  
586 monitoring system platform.

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